

Docket: 71626 US02

PATENT

SFK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shelton et al.

Serial No.: 10/796,176

Group Art Unit: 1711

Filed: March 09, 2004

Examiner: Saira B. Haider

For: LOW MOLECULAR WEIGHT CELLULOSE MIXED ESTERS AND THEIR
USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN COATING
COMPOSITIONS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(c)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of cited foreign patent and non-patent literature documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance.

Accordingly,

☒ the undersigned hereby states (37 CFR 1.97(e)) that

- ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, was

known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

- ☒ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under §1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

Respectfully submitted, .

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Polly C. Owen
Polly C. Owen
Registration No. 44,991
August 21st, 2007
Date

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rebecca White
Rebecca L. White

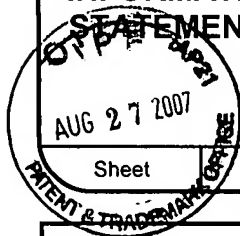
August 22, 2007
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Substitute for form 1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT



Sheet

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of

1

Attorney Docket Number

71626 US02

First Named Inventor

Shelton et al.

COMPLETE IF KNOWN

Application Number

10/796,176

Filing Date

March 09, 2004

Group Art Unit

1711

Examiner Name

Saira B. Haider

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		6,522,812	B1	Nikonov	02-18-2003
		2006/0123890		Seo et al.	06-15-2006

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
		Office Action dated May 16, 2007 from United States Patent and Trademark Office for co-pending Application Number 10/796,235	

**Examiner
Signature**

**Date
Considered**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.